

# ENVIRONMENTAL, SOCIAL AND GOVERNANCE CRITERIA FOR THE ANALYSIS OF COUNTRIES ELIGIBLE TO BE INCLUDED IN INVESTMENT FUNDS OF LINEA VALORI RESPONSABILI

## EXCLUSION CRITERIA

Countries are excluded if:

- considered "not free" or "partially free" based on civil liberties and political rights
- considered "not free" or "partially free" based on press freedom
- there is death penalty, applied or provided by law.

## EVALUATION CRITERIA

### ENVIRONMENT

<b>Participation in International environmental conventions</b>	<ul style="list-style-type: none"> <li>• Vienna Convention for the Protection of the Ozone Layer; Montréal Protocol</li> <li>• CITES (Convention on International Trade in Endangered Species)</li> <li>• Paris Agreement</li> <li>• Convention on Biological Diversity</li> <li>• UNCLOS (United Nations Convention on the Law of the Sea)</li> </ul>
<b>Mitigation of and Adaptation to Climate change</b>	<ul style="list-style-type: none"> <li>• Forest area (% of land area)</li> <li>• CO2 emissions (kg per PPP \$ of GDP)</li> <li>• CO2 emissions (metric tons per capita)</li> <li>• Renewable energy consumption (% of total final energy consumption)</li> </ul>
<b>Reduction of Air Emissions</b>	<ul style="list-style-type: none"> <li>• VOC emissions / kg per capita</li> <li>• NOx emissions / kg per capita</li> <li>• SOx emissions / kg per capita</li> <li>• Carbon monoxide emissions / kg per capita</li> </ul>

<b>Protection of water resources</b>	<ul style="list-style-type: none"> <li>• Annual freshwater withdrawals, total (% of internal water resources)</li> <li>• Renewable internal freshwater resources per capita (cubic meters)</li> </ul>
<b>Protection of Biodiversity</b>	<ul style="list-style-type: none"> <li>• Terrestrial and marine protected areas (% of total territorial area)</li> <li>• IUCN Red List of Threatened Species</li> <li>• Coverage of protected areas in relation to marine areas</li> </ul>
<b>Transition to green growth</b>	<ul style="list-style-type: none"> <li>• Fertilizer consumption (kilograms per hectare of arable land)</li> <li>• Amount of municipal waste - per capita</li> </ul>

## SOCIAL

<b>Promotion of social protection</b>	<ul style="list-style-type: none"> <li>• Gini Index (Inequality in income or consumption)</li> <li>• Unemployment, total (% of total labor force) (modeled ILO estimate)</li> </ul>
<b>Promotion of education</b>	<ul style="list-style-type: none"> <li>• Government expenditure on education, total (% of GDP)</li> </ul>
<b>Promotion of public health</b>	<ul style="list-style-type: none"> <li>• Health expenditure, public (% of GDP)</li> <li>• Mortality rate, under 1 year and under 5 years</li> <li>• Improved water source, urban and rural (% of population with access)</li> <li>• Improved sanitation facilities, urban and rural (% of population with access)</li> </ul>
<b>Promotion of gender equality</b>	<ul style="list-style-type: none"> <li>• Gender Inequality Index (GII) - impact of gender diversity on a Country's economy</li> </ul>
<b>Participation in international solidarity</b>	<ul style="list-style-type: none"> <li>• Net ODA, total, as percentage of Country's GDP (for OECD Countries)</li> </ul>

## GOVERNANCE

<b>Participation in international Human Rights Conventions</b>	<ul style="list-style-type: none"> <li>• International Covenant on Civil and Political Rights</li> <li>• International Covenant on Economic, Social and Cultural Rights</li> <li>• Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or</li> </ul>
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	<p>Punishment</p> <ul style="list-style-type: none"> <li>• Convention on the rights of the child</li> <li>• Participation in the Treaty on the Non-Proliferation of Nuclear Weapons (NPT)</li> <li>• Participation in the Chemical Weapons Convention (CWC)</li> <li>• Participation in the Biological Weapons Convention (BWC)</li> <li>• Participation in the Convention on Certain Conventional Weapons (CCWC)</li> <li>• Participation in the Ottawa convention (anti-personnel landmines)</li> <li>• United Nations Convention against Corruption</li> <li>• Participation in the Convention on cluster munitions</li> <li>• Participation in the Arms Trade Treaty</li> </ul>
<p><b>Participation in international conventions on prevention of discrimination</b></p>	<ul style="list-style-type: none"> <li>• International Convention on the elimination of all forms of racial discrimination</li> <li>• International Convention on the elimination of all forms of discrimination against women</li> </ul>
<p><b>Participation in international conventions on labour rights</b></p>	<ul style="list-style-type: none"> <li>• ILO Fundamental Convention: Minimum age</li> <li>• ILO Fundamental Convention: Worst forms of child labour</li> <li>• ILO Fundamental Convention: Forced labour</li> <li>• ILO Fundamental Convention: Abolition of forced labour</li> <li>• ILO Fundamental Convention: Freedom of association and protection of the right to organise</li> <li>• ILO Fundamental Convention: Right to organise and collective bargaining</li> <li>• ILO Fundamental Convention: Discrimination (employment and occupation)</li> <li>• ILO Fundamental Convention: Equal remuneration</li> </ul>
<p><b>Promotion of democracy and stability</b></p>	<ul style="list-style-type: none"> <li>• Press Freedom Index</li> <li>• Freedom in the World Index</li> <li>• Worldwide Governance indicators: Rule of Law</li> <li>• Worldwide Governance indicators: Control of corruption</li> <li>• Corruption perceptions index</li> </ul>

- Worldwide Governance indicators: Political Stability and Absence of Violence
- Worldwide Governance indicators: Voice and Accountability
- Worldwide Governance indicators: Government Effectiveness
- Worldwide Governance indicators: Regulatory Quality